

sure Statement Transmittal Information

Thereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit:

Name of Person Making the Deposit:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Hadi Partovi, Roderick Steven Brathwaite, Angus MacDonald Davis, Michael S. McCue,

Brandon William Porter, John Giannandrea, Ekart Walther, Zhe Li

RECEIVED

Serial No.:

09/523,853

Group Art Unit:

Filed:

03/13/00

Examiner:

Technology Center 2100

Title:

METHOD AND APPARATUS FOR CONTENT PERSONALIZATION OVER A

TELEPHONE INTERFACE WITH ADAPTIVE VOICE CHARACTER

The Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Information Disclosure Statement Transmittal

Transmitted herewith is the following:

Certification for PTO Consideration

x Information Disclosure statement (2 sheets)

Information Disclosure statement and late filing fee

Form 1449

Petition for Extension of Time

x Other: CITED REFERENCES

Fee Calculation (for other than a small entity)		<u></u>
Fee Items	Fee Rate	Total
Petition for Extension of Time (fee calculated elsewhere	\$.00	0.00
Information Disclosure Statement, late filing	\$240.00	0.00
Other:		
Total Fees		0.00

PAYMENT OF FEES

- 1. The full fee due in connection with this communication is provided as follows:
- The Commissioner is hereby authorized to charge any additional fees associated with this [X] communication or credit any overpayment to Deposit Account No.: 23-0085. A <u>duplicate copy</u> of this authorization is enclosed.
- [] A check in the amount of \$
- Charge any fees required or credit any overpayments associated with this filing to Deposit [] Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

WAGNER, MURABITO & HAO LLP Two North Market Street, Third Floor San Jose, California 95113 (408) 938-9060

Respectfully submitted,

By:

Anthony C. Murabito Reg. No. 35,295



THE WITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: TLME-99-001.6.US

Inventor(s):

Partovi et al.

Serial No.:

09/523,853

Group Art Unit:

2768

Filed:

03/13/00

Examiner:

Title:

METHOD AND APPARATUS FOR CONTENT PERSONALIZATION OVER A

TELEPHONE INTERFACE WITH ADAPTIVE VOICE CHARACTER

The Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(b)

The citations referenced herein, copies attached, may be material to the examination of the above-identified application and are, therefore, submitted in compliance with the duty of disclosure as defined in 37 C.F.R. 1.56. The Examiner is requested to make these citations of official record in the application.

This Information Disclosure Statement submitted in accordance with 37 C.F.R. 1.97(b) is not to be construed as a representation that a search has been made, that additional items material to the examination of this application do not exist, or that any one or more of these citations constitute prior art under 35 U.S.C. 102.

The Examiner's attention is respectfully directed to the following U.S. Patents:

<u>Pat. No.</u> 6,067,348	Pat. Title OUTBOUND MESSAGE PERSONALIZATION	<u>Grant Date</u> 05/23/00
5,915,001	SYSTEM AND METHOD FOR PROVIDING AND USING UNIVERSALLY ACCESSIBLE VOICE AND SPEECH DATA FILES	06/22/99
5,899,975	STYLE SHEETS FOR SPEECH-BASED PRESENTATION OF WEB PAGES	05/04/99

The Examiner's attention is respectfully directed to the following Foreign Patents:

Pat. No. Pat. Title
WO 98/56154 COMMUNICATIONS SYSTEM FOR DELIVERING

Grant Date

COMMUNICATIONS SYSTEM FOR DELIVERING 12/10/98

PROMOTIONAL MESSAGES

The Examiner's attention is respectfully directed to the following Documents:

B. Mazor et al., "THE DESIGN OF SPEECH-INTERACTIVE DIALOGS FOR TRANSACTION-AUTOMATION SYSTEMS", 02/17/95, GTE Laboratories Inc., 40 Sylvan Rd., Waltham MA 02254, USA

Gardner-Bonneau, "HUMAN FACTORS AND VOICE INTERACTIVE SYSTEMS", 1999, Kluwer Academic Publishers, 101 Philip Dr., Norwell, MA 02061 USA

Mazor et al., "OASIS- A SPEECH RECOGNITION SYSTEM FOR TELEPHONE SERVICE ORDERS", 1994, GTE Laboratories Inc., 40 Sylvan Rd., Waltham MA 02254, USA

Hemphill et al., "SPEECH-AWARE MULTIMEDIA", Spring 1996, IEEE Multimedia

Lau et al., "WEBGALAXY: BEYOND POINT AND CLICK - A CONVERSATIONAL TO A BROWSER", 1997, Computer Networks and ISDN Systems 29

"VOXML 1.0 APPLICATION DEVELOPMENT GUIDE", 09/98, Motorola, Inc., Consumer Applications and Services Division, 55 Shurman Blvd., Ste. 600, Naperville, IL 60563 USA

Hemphill et al., "SURFING THE WEB BY VOICE", 11/95, ACM Multimedia '95, San Francisco, CA

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Respectfully submitted,

Date: 5/23/201

Anthony C. Murabito Reg. No. 35,295